

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/788,301
Filing Date	02/16/2001
First Named Inventor	Sercel
Title	RESONANT OPTICAL POWER CONTROL DEVICE ASSEMBLIES
Group Art Unit	
Examiner Name	
Attorney Docket Number	ALG10NP

I hereby appoint:

☒ Practitioners at Customer Number

36394 →



OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer NumberPlace Customer
Number Bar Code
Label here

OR

☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name

Jeffrey S. Pittichier, President and CEO, Xponent Photonics Inc.

Signature

Date

8-1-2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/98 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Xponent Photonics, Inc.Application No./Patent No.: 09/788,301Filed/Issue Date: 02/16/2001Entitled: RESONANT OPTICAL POWER CONTROL DEVICE ASSEMBLIESXponent Photonics, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: inventors To: cQuint Communications Corporation
The document was recorded in the United States Patent and Trademark Office at Reel 011918, Frame 0397, or for which a copy thereof is attached.
2. From: cQuint Communications Corporation To: Xponent Photonics, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[☐] Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-1-2003

Date

Jeffrey S. Ritchier

Typed or printed name

Signature

President and CEO, Xponent Photonics Inc.

Title

COPY



SECRETARY OF STATE

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of 2 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

MAR 11 2002

Secretary of State

MAR 06 2002

BILL JONES, Secretary of State

**CERTIFICATE OF AMENDMENT
OF
AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
cQUINT COMMUNICATIONS CORPORATION**

Jeffrey Rittichier and Pamela Stock hereby certify that:

A. They are the President and Secretary, respectively, of cQuint Communications Corporation, a California corporation (the "Corporation");

B. Article I of the Amended and Restated Articles of Incorporation of the Corporation is amended and restated to read in its entirety as follows:

I.

The name of this corporation is Xponent Photonics Inc.

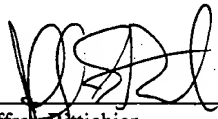
C. The amendment set forth herein has been duly approved and adopted by the Board of Directors of this Corporation.

D. The amendment set forth herein has been duly approved by the required vote of the shareholders in accordance with Sections 902 and 903 of the California Corporations Code. The Corporation has outstanding 9,375,985 shares of Common Stock, 5,780,859 shares of Series A Convertible Preferred Stock and 10,666,668 shares of Series B Convertible Preferred Stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required for approval. The percentage vote required for the approval of the amendment was more than 50% of the Common Stock, Series A Convertible Preferred Stock and Series B Convertible Preferred Stock voting together as a single class.

COPY

The undersigned further declares under penalty of perjury under the laws of the State of California that the matters set forth in this Certificate are true and correct of my own knowledge.

Dated Feb. 20, 2002



Jeffrey Rittichier
President



Pamela Stock
Secretary

COPY



459222



ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, Peter C. Sercel of Pasadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 2001

at Monrovia, California

State of CALIFORNIA

County of LOS ANGELES

SS:

Before me personally appeared said PETER C. SERCEL

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 2001.

Seal



(Notary Public)

Note: Signatures of all the inventors or assignees of record of the invention and of the representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 6 forms are submitted.

COPY

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, Kerry J. Vahala of San Gabriel, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had the assignment and sale not been made.

Executed this 13TH day of JUNE, 20 01
at MONROVIA, CA

State of CALIFORNIA

County of LOS ANGELES

SS:

Before me personally appeared said KERRY J. VAHALA

and acknowledged the foregoing instrument to be his free act and deed this 13TH day of JUNE, 2001.

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 6 forms are submitted.

COPY

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, Guido Hunziker of Altadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001
Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of JUNE, 2001
at MONROVIA, CA

State of CALIFORNIACounty of LOS ANGELES

SS:

Before me personally appeared said GUIDO HUNZIKER

and acknowledged the foregoing instrument to be his free act and deed this 13th
day of JUNE, 2001.

Seal



PAMELA STOCK

Comm. # 1216780

NOTARY PUBLIC - CALIFORNIA

Los Angeles County

My Comm. Expires April 23, 2003

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 6 forms are submitted.

COPY

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, David W. Vernoooy of Sierra Madra, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 2001
at MONROVIA, CA

State of CALIFORNIA

County of LOS ANGELES

SS:

Before me personally appeared said DAVID W. VERNOOY

and acknowledged the foregoing instrument to be his free act and deed this 13th day of JUNE, 2001.

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 6 forms are submitted.

COPY

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, Oskar J. Painter of Pasadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 20 01
at Monrovia, CA

State of CALIFORNIA

County of LOS ANGELES

SS:

Before me personally appeared said OSKAR J. PAINTER

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 20 01

Seal



PAMELA STOCK
Comm. # 1216780

NOTARY PUBLIC - CALIFORNIA

Los Angeles County

My Comm. Expires April 23, 2003

(Signature)

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 6 forms are submitted.

COPY

JUL 3 0 2003

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ALG10NP

Whereas, I/We, Robert B. Lee of Pasadena, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Resonant optical control device assemblies

☒ for which an application for a United States Patent was filed on 02/16/2001

Application Number 09 / 788,301

☐ for which an application for a United States Patent was executed on _____, and

Whereas, cQuint Communications Corporation of Monrovia, CA herein referred to "assignee" whose mailing address is 427 East Huntington Drive, Monrovia, CA 91016 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of 10.00 dollars (\$ 10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 13th day of June, 2001
at Monrovia, California

State of CALIFORNIA

County of Los Angeles

SS:

Before me personally appeared said ROBERT B. LEE

and acknowledged the foregoing instrument to be his free act and deed this 13th

day of JUNE, 2001

Seal



PAMELA STOCK
Comm. # 1216780
NOTARY PUBLIC - CALIFORNIA
Los Angeles County
My Comm. Expires April 23, 2003

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 6 forms are submitted.

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